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# • Top-down Predict what you expect to see (eg Earley algorithm)

- Bottom-up Start with the words, then incrementally build up parse trees
  - CYK (Cocke-Younger-Kasami) algorithm
  - Well-matched to probabilistic grammars
  - Dynamic programming approach
  - Resolve ambiguities by taking the most probable subtree

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- If a CFG is in Chomsky Normal Form, productions are constrained to be of two forms only:
  - Expand to 2 non-terminals, eg: A → B C with A, B, C all non-terminals
  - Expand to 1 terminal, eg: A  $\rightarrow$  a where A is a non-terminal and a is a terminal
- Any CFG can be translated to a (weakly) equivalent CFG in CNF

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#### **CYK Example**

Alice called Bob from Cardiff

Context-Free Grammar:

$$\begin{array}{l} \mathsf{S} \rightarrow \mathsf{NP} \ \mathsf{VP} \\ \mathsf{NP} \rightarrow \mathsf{NP} \ \mathsf{PP} \\ \mathsf{VP} \rightarrow \mathsf{V} \ \mathsf{NP} \\ \mathsf{VP} \rightarrow \mathsf{VP} \ \mathsf{PP} \\ \mathsf{PP} \rightarrow \mathsf{P} \ \mathsf{NP} \end{array}$$

 $\begin{array}{l} \mathsf{NP} \rightarrow \mathsf{Alice} \\ \mathsf{NP} \rightarrow \mathsf{Bob} \\ \mathsf{NP} \rightarrow \mathsf{Cardiff} \\ \mathsf{V} \rightarrow \mathsf{called} \\ \mathsf{P} \rightarrow \mathsf{from} \end{array}$ 

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				Cardiff
			from	
		Bob		
	called			
Alice				

#### **CYK Parse Chart**

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				Cardiff
			from	
		Bob		
NP	called			
Alice				

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				Cardiff
			from	
	V	Bob		
NP	called			
Alice				

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				Cardiff
		NP	from	
	V	Bob		
NP	called			
Alice				

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			Р	Cardiff
		NP	from	
	V	Bob		
NP	called			
Alice				

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				NP
			Р	Cardiff
		NP	from	
	V	Bob		
NP	called			
Alice				

				NP
			P	Cardiff
		NP	from	
X	V	Bob		
NP	called			
Alice				

				NP
			P	Cardiff
	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

				NP
		X	Р	Cardiff
	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

			PP	NP
		Х	Р	Cardiff
	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

			PP	NP
		Х	Р	Cardiff
S	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

			PP	NP
	X	Х	Р	Cardiff
S	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

		NP	PP	NP
	Х	Х	Р	Cardiff
S	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

		NP	PP	NP
X	Х	Х	Р	Cardiff
S	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

	<b>VP</b> <sub>1</sub>	NP	PP	NP
Х	Х	Х	Р	Cardiff
S	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

	<b>VP</b> <sub>2</sub>	NP	PP	NP
Х	Х	Х	Ρ	Cardiff
S	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

	<b>VP</b> <sub>1</sub> /VP <sub>2</sub>	NP	PP	NP
Х	Х	Х	Р	Cardiff
S	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

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S	VP	NP	PP	NP
Х	Х	Х	Ρ	Cardiff
S	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

**First Parse** 

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S	VP	NP	PP	NP
Х	Х	Х	Ρ	Cardiff
S	VP	NP	from	
Х	V	Bob		
NP	called			
Alice				

Second Parse

#### First tree



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#### Second tree



# Probabilistic context-free grammars (PCFGs)

- A probabilistic context-free grammar augments each rule in a CFG with a conditional probability pA  $\rightarrow \alpha$  (p)
- This probability is the probability that given non-terminal A it will be expanded to the sequence α; written as P(A → α|A) or P(A → α)

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• Probability of a parse tree is the product of the rule probabilities used to construct the parse

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#### Probabilistic parsing

Consider the rule:

#### $S \to NP \; VP$

Then the probability of S is the product of the rule probability and the probability of each subtree:

 $P(S) = P(S \rightarrow NP VP) \cdot P(NP) \cdot P(VP)$ 

 We are doing bottom-up parsing... so we already know the subtree probabilities P(NP) and P(VP)

#### Probabilistic parsing

A D F A 同 F A E F A E F A Q A

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 We are doing bottom-up parsing... so we already know the subtree probabilities *P*(NP) and *P*(VP)

#### Probabilistic CYK Example

Alice called Bob from Cardiff

Context-Free Grammar:

 $S \rightarrow NP VP (p_1)$  $NP \rightarrow NP PP (p_2)$  $VP \rightarrow V NP (p_3) NP \rightarrow Cardiff (p_8)$  $VP \rightarrow VP PP$  (p<sub>4</sub>)  $V \rightarrow called$  (p<sub>9</sub>)  $PP \rightarrow P NP (\rho_5)$ 

 $NP \rightarrow Alice (p_6)$  $NP \rightarrow Bob (p_7)$  $P \rightarrow from (p_{10})$ 

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 $P(T1, S) = p_1(p_6)(p_4(p_3(p_9p_7))(p_5(p_{10}p_8)))$ 

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 $P(T1, S) = p_1(p_6)(p_4(p_3(p_9p_7))(p_5(p_{10}p_8)))$ 



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 $P(T2, s) = p_1(p_6)(p_3(p_9)(p_2(p_7)(p_5(p_{10}p_8))))$ 



 $P(T2, s) = p_1(p_6)(p_3(p_9)(p_2(p_7)(p_5(p_{10}p_8))))$ 



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 $P(T2, s) = p_1(p_6)(p_3(p_9)(p_2(p_7)(p_5(p_{10}p_8))))$ 

#### Choosing the tree

Choose tree 1 if P(T1, S)/P(T2, S) > 1.

$$\frac{P(T1,S)}{P(T2,S)} = \frac{p_1(p_6)(p_4(p_3(p_9p_7))(p_5(p_{10}p_9)))}{p_1(p_6)(p_3(p_9)(p_2(p_7)(p_5(p_{10}p_8))))} = \frac{p_4}{p_2}$$

If  $p_4p_3p_7p_9p_5p_8p_{10} < p_3p_9p_2p_7p_5p_8p_{10}$ :

S	VP <sub>1</sub>	NP	PP	NP
<i>P</i> 1 <i>P</i> 6 <i>P</i> 3 <i>P</i> 9 <i>P</i> 2 <i>P</i> 7 <i>P</i> 5 <i>P</i> 8 <i>P</i> 10	<i>P</i> <sub>4</sub> <i>P</i> <sub>3</sub> <i>P</i> <sub>7</sub> <i>P</i> <sub>9</sub> <i>P</i> <sub>5</sub> <i>P</i> <sub>8</sub> <i>P</i> <sub>10</sub>	<i>p</i> <sub>2</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<b>p</b> <sub>5</sub> <b>p</b> <sub>8</sub> <b>p</b> <sub>10</sub>	$p_8$
Х	Х	Х	Р	Cardiff
			<b>p</b> <sub>10</sub>	
S	VP	NP	from	
<i>P</i> 1 <i>P</i> 6 <i>P</i> 3 <i>P</i> 7 <i>P</i> 9	p <sub>3</sub> p <sub>7</sub> p <sub>9</sub>	<b>p</b> 7		
Х	V	Bob		
	$p_9$			
NP	called			
$p_6$				
Alice				

If  $p_4p_3p_7p_9p_5p_8p_{10} < p_3p_9p_2p_7p_5p_8p_{10}$ :

S	VP <sub>1</sub>	NP	PP	NP
<i>P</i> 1 <i>P</i> 6 <i>P</i> 3 <i>P</i> 9 <i>P</i> 2 <i>P</i> 7 <i>P</i> 5 <i>P</i> 8 <i>P</i> 10		<i>p</i> <sub>2</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	$p_5 p_8 p_{10}$	$p_8$
Х	Х	Х	Р	Cardiff
			<b>p</b> <sub>10</sub>	
S	VP	NP	from	
<i>P</i> <sub>1</sub> <i>P</i> <sub>6</sub> <i>P</i> <sub>3</sub> <i>P</i> <sub>7</sub> <i>P</i> <sub>9</sub>	$p_3 p_7 p_9$	<b>p</b> 7		
Х	V	Bob		
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Х	Х	Х	Р	Cardiff
			<b>p</b> <sub>10</sub>	
S	VP	NP	from	
<i>p</i> <sub>1</sub> <i>p</i> <sub>6</sub> <i>p</i> <sub>3</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>9</sub>	$p_3 p_7 p_9$	<b>p</b> 7		
Х	V	Bob		
	$p_9$			
NP	called			
$\rho_6$				
Alice				

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S	VP <sub>1</sub>	NP	PP	NP
<i>P</i> <sub>1</sub> <i>P</i> <sub>6</sub> <i>P</i> <sub>3</sub> <i>P</i> <sub>9</sub> <i>P</i> <sub>2</sub> <i>P</i> <sub>7</sub> <i>P</i> <sub>5</sub> <i>P</i> <sub>8</sub> <i>P</i> <sub>10</sub>	<i>p</i> <sub>4</sub> <i>p</i> <sub>3</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>9</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<i>p</i> <sub>2</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	$p_5 p_8 p_{10}$	$p_8$
Х	Х	X	Р	Cardiff
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S	VP	NP	from	
<i>p</i> <sub>1</sub> <i>p</i> <sub>6</sub> <i>p</i> <sub>3</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>9</sub>	$p_3 p_7 p_9$	<b>p</b> 7		
Х	V	Bob		
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S	VP <sub>2</sub>	NP	PP	NP
<i>P</i> <sub>1</sub> <i>P</i> <sub>6</sub> <i>P</i> <sub>3</sub> <i>P</i> <sub>9</sub> <i>P</i> <sub>2</sub> <i>P</i> <sub>7</sub> <i>P</i> <sub>5</sub> <i>P</i> <sub>8</sub> <i>P</i> <sub>10</sub>	<i>p</i> <sub>3</sub> <i>p</i> <sub>9</sub> <i>p</i> <sub>2</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<i>p</i> <sub>2</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	$p_5 p_8 p_{10}$	$p_8$
Х	Х	X	Р	Cardiff
			<b>p</b> <sub>10</sub>	
S	VP	NP	from	
<i>p</i> <sub>1</sub> <i>p</i> <sub>6</sub> <i>p</i> <sub>3</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>9</sub>	$p_3 p_7 p_9$	<b>p</b> 7		
Х	V	Bob		
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S	VP <sub>1</sub>	NP	PP	NP
<i>p</i> <sub>1</sub> <i>p</i> <sub>6</sub> <i>p</i> <sub>4</sub> <i>p</i> <sub>3</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>9</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<i>p</i> <sub>4</sub> <i>p</i> <sub>3</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>9</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<i>p</i> <sub>2</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<b>p</b> 8
Х	Х	Х	Р	Cardiff
			<b>p</b> <sub>10</sub>	
S	VP	NP	from	
<i>p</i> <sub>1</sub> <i>p</i> <sub>6</sub> <i>p</i> <sub>3</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>9</sub>	<i>p</i> <sub>3</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>9</sub>	<b>p</b> 7		
Х	V	Bob		
	$p_9$			
NP	called			
$p_6$				
Alice				

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#### If $p_4 p_3 p_7 p_9 p_5 p_8 p_{10} < p_3 p_9 p_2 p_7 p_5 p_8 p_{10}$ :

S	VP <sub>2</sub>	NP	PP	NP
<i>p</i> <sub>1</sub> <i>p</i> <sub>6</sub> <i>p</i> <sub>3</sub> <i>p</i> <sub>9</sub> <i>p</i> <sub>2</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<i>p</i> <sub>3</sub> <i>p</i> <sub>9</sub> <i>p</i> <sub>2</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<i>p</i> <sub>2</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<i>p</i> <sub>5</sub> <i>p</i> <sub>8</sub> <i>p</i> <sub>10</sub>	<b>p</b> 8
Х	Х	Х	Р	Cardiff
			<b>p</b> <sub>10</sub>	
S	VP	NP	from	
<i>p</i> <sub>1</sub> <i>p</i> <sub>6</sub> <i>p</i> <sub>3</sub> <i>p</i> <sub>7</sub> <i>p</i> <sub>9</sub>	$p_3 p_7 p_9$	<b>p</b> 7		
Х	V	Bob		
	$p_9$			
NP	called			
$p_6$				
Alice				

#### **Estimating PCFG Probabilities**

#### Treebank—corpus of parsed sentences

 Given a treebank compute the probability of each non-terminal expansion (A → α) based on the counts c(A → α):

$$P(A \to \alpha | A) = \frac{c(A \to \alpha)}{\sum_{Y} c(A \to Y)} = \frac{c(A \to \alpha)}{c(A)}$$

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#### Problems with PCFGs

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Lexical PCFGs only capture lexical information in the expansion of pre-terminals. But, lexical dependencies can often be used to choose the correct parse, eg: Carol eats chips with ketchup Carol eats chips with a fork

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  - Lexical PCFGs only capture lexical information in the expansion of pre-terminals. But, lexical dependencies can often be used to choose the correct parse, eg: Carol eats chips with ketchup
    - Carol eats chips with a fork

#### Lexical dependence

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Data from Penn Treebank:

Rule	come	take	think	want
$VP\toV$	0.095	0.026	0.046	0.057
$VP \to V \: NP$	0.011	0.321	0.002	0.139
$VP\toVPP$	0.345	0.031	0.071	0.003
$VP\toV\:S$	0.022	0.013	0.048	0.708

The rule used to expand VP is strongly dependent on the verb

#### • Annotated each non-terminal with its *lexical head*

- Each rule has a head child on the left hand side; the headword for a node is then the headword of its head child
- Easy for simple examples (eg the noun is the head of an NP, the verb is the head of a VP); harder in practice
- Various heuristics for finding the head robustly (mainly developed on Penn Treebank)
- A "simple" lexicalised CFG is a basic CFG with a lot more rules (ie each rule is copied for each headword) but this is impractical!
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